<Application number: e.g. 123456>

# FOREWORD

This document is for use of all products including Biologics and veterinary products.

The QIStemplate should be completed to provide a condensed summary of the key quality information for applications containing APIs of synthetic, semi-synthetic or biological origin.

The QIS provides an accurate record of technical data in the application at the time of registration and thereafter serves as an official reference document during the course of GMP inspections, variation assessments and renewal assessments as performed by SAHPRA. The QIS is a condensed version of the Quality Overall Summary and represents the final, agreed upon key information from the application (inter alia identification of the manufacturer(s), API/FPP specifications, stability conclusions and relevant commitments).

The QIS template is structured to permit the rapid assembly of the QIS by copying requisite information from the corresponding portions of the original application. It is acknowledged that the numbering of the sections may not be entirely sequential. Those sections not considered necessary to be included in the QIS have been removed and the remaining sections have retained their numbering to be consistent with the original application.

The QIS should be provided in Word format at the time of application submission. The QIS should be revised and submitted with the change history (see table at the end of the template) each time additional data is provided during the assessment process. If no revision is necessary due to no change in the information, a statement should be made to this effect in the covering letter. For variations, the QIS should be completed *in its entirety* (regardless of the proposed change), it should include information on *all strengths*, with any changes highlighted and it should be provided *at the time of submission*.

When completing the QIS template, this covering foreword should be deleted.

# INTRODUCTION

1. **Summary of product information:**

|  |  |  |  |
| --- | --- | --- | --- |
| Non-proprietary name(s) of the finished pharmaceutical product(s) (FPP) |  | | |
| **Proprietary name(s) of the finished pharmaceutical product(s) (FPP)** |  | | |
| **International non-proprietary name(s) of the active pharmaceutical ingredient(s) (API(s)), including form (salt, hydrate, polymorph)** |  | | |
| **Applicant name and address** |  | | |
| **Dosage form** |  | | |
| **Application number(s)** |  |  |  |
| **Strength(s)** |  |  |  |
| **Route of administration** |  | | |
| **Proposed indication(s)** |  | | |
|  |  | | |
| **Primary contact person responsible for this application[[1]](#footnote-1)** |  | | |
| **Contact person's job title** |  | | |
| **Contact person's email address** |  | | |
| **Contact person's phone number** |  | | |

1. **Administrative Summary:**

|  |  |
| --- | --- |
| Applicant’s date of preparation or revision of the QIS |  |

Related dossiers (e.g. FPP(s) with the same API(s) submitted to SAHPRA:

|  |  |  |  |
| --- | --- | --- | --- |
| Application number  (e.g. 123456) | Registered (Y/N) | API, strength, dosage form  (eg. Abacavir (as sulphate) 300 mg tablets) | API manufacturer  (including address if same  supplier as current dossier) |
|  |  |  |  |
|  |  |  |  |

Reliance:

|  |  |  |  |
| --- | --- | --- | --- |
| Original submission (sequence 0000) | Evaluation pathway followed | Name of RRA | Unredacted assessment reports provided (Y/N) |
|  |  |  |  |
|  |  |  |  |

[The above table must be completed for every sequence submitted]

### 2.3.S DRUG SUBSTANCE (or ACTIVE PHARMACEUTICAL INGREDIENT (API)) (NAME, MANUFACTURER)

Indicate which option applies for the submission of API information: <check one only>

|  |  |  |
| --- | --- | --- |
| Name of API: | |  |
| **Name of API manufacturer:** | |  |
| □ | Confirmation of API prequalification document | |
| □ | Certificate of suitability to the European Pharmacopoeia (CEP) | |
| □ | Active pharmaceutical ingredient master file (APIMF) procedure:  APIMF number assigned by SAHPRA (if known): \_\_\_\_\_\_\_ ; version number(s) including amendments (and/or date(s)) of the open part: \_\_\_\_\_\_\_ ; version number(s) including amendments (and/or date(s)) of the restricted part: : \_\_\_\_\_\_\_. | |
| □ | Full details in the application  Document version number/identifier of current module 3.2.S: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |

#### 2.3.S.2 Manufacture (name, manufacturer)

#### *2.3.S.2.1 Manufacturer(s) (name, manufacturer)*

(a) Name, address and responsibility (e.g. sythesis, packaging, labelling, testing, storage) of each manufacturer, including contractors and each proposed production site or facility involved in these activities:

|  |  |  |  |
| --- | --- | --- | --- |
| Name and address  (including block(s)/unit(s)) | Responsibility | API-PQ number /APIMF/CEP number (if applicable) | Letter of access provided? |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

#### *2.3.S.2.3 Control of Materials (name, manufacturer) – for API option 4 only*

**(a)** **Name of starting material:**

**(b) Name and manufacturing site address of starting material manufacturer(s):**

#### 2.3.S.4 Control of the API (name, manufacturer)

#### *2.3.S.4.1 Specification (name, manufacturer)*

1. **API specifications *of the FPP manufacturer:***

|  |  |  |
| --- | --- | --- |
| Standard (e.g. Ph.Int., Ph.Eur., BP, USP, in-house) | |  |
| **Specification reference number and version** | |  |
| **Has the specifications been signed, dated by the authorized personnel** | |  |
| **Test** | **Acceptance criteria** | **Analytical procedure**  **(Type/Source/Version)** |
| Description |  |  |
| Identification |  |  |
| Impurities |  |  |
| Assay |  |  |
| etc. |  |  |
|  |  |  |
|  |  |  |

#### 2.3.S.6 Container Closure System (name, manufacturer)

1. **Description of the container closure system(s) for the storage and shipment of the API:**

#### 2.3.S.7 Stability (name, manufacturer)

#### *2.3.S.7.1 Stability Summary and Conclusions (name, manufacturer)*

**(c) Proposed storage conditions and re-test period (or shelf-life, as appropriate):**

|  |  |  |
| --- | --- | --- |
| Container closure system | Storage statement | Re-test period\* |
|  |  |  |
|  |  |  |

\* indicate if a shelf-life is proposed in lieu of a re-test period (e.g. in the case of labile APIs)

### 2.3.P DRUG PRODUCT (or FINISHED PHARMACEUTICAL PRODUCT (FPP))

#### 2.3.P.1 Description and Composition of the FPP

1. **Description of the FPP (in signed specifications):**
2. **Composition of the FPP:**

**(i) Composition, i.e. list of all components of the FPP and their amounts on a per unit basis and percentage basis (including individual components of mixtures prepared in-house (e.g. coatings) and overages, if any):**

| **Component and quality standard (and grade, if applicable)** | **Function** | **Strength (label claim)** | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | |  | |  | |
| **Quant. per unit or per mL** | **%** | **Quant. per unit or per mL** | **%** | **Quantity per unit or per mL** | **%** |
| <complete with appropriate titles e.g. Core tablet (Layer 1, Layer 2, etc. as applicable), Contents of capsule, Powder for injection> | | | | | | | |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| Subtotal 1 |  |  |  |  |  |  |  |
| <complete with appropriate title e.g. Film-coating > | | | | | | | |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| Subtotal 2 |  |  |  |  |  |  |  |
| Total |  |  |  |  |  |  |  |

**(ii) Composition of all *components purchased as mixtures* (e.g. colourants, coatings, capsule shells, imprinting inks):**

(c) Description of accompanying reconstitution diluent(s), if applicable:

#### *2.3.P.2.2.1 Formulation Development*

**(b) Information on primary (submission, registration, exhibit) batches including comparative bioavailability or biowaiver, stability, commercial:**

1. **Summary of batch numbers:**

|  |  |  |  |
| --- | --- | --- | --- |
| Batch number(s) of the FPPs used in | | | |
| **Bioequivalence or biowaiver** | <e.g. bioequivalence batch A12345> <e.g. biowaiver batch X12345> | | |
| **For proportional strength biowaiver: the bioequivalence batch of the reference strength** |  | | |
| **Dissolution profile studies** |  | | |
| **Stability studies (primary batches)** | | | |
| ‹packaging configuration I› |  |  |  |
| ‹ packaging configuration II› |  |  |  |
| *‹Add/delete as many rows as necessary›* |  |  |  |
| **Stability studies (production batches)** | | | |
| ‹ packaging configuration I› |  |  |  |
| ‹ packaging configuration II› |  |  |  |
| *(Add/delete as many rows as necessary)* |  |  |  |
| **Validation studies (primary batches)** | | | |
| ‹ packaging configuration I› |  |  |  |
| ‹ packaging configuration II› |  |  |  |
| *(Add/delete as many rows as necessary)* |  |  |  |
| **Validation studies (at least the first three consecutive production batches)**  **or code(s)/ version(s) for process validation protocol(s)** |  |  |  |

Summary of formulations and discussion of any differences:

| **Component and quality standard (e.g. NF, BP, Ph.Eur, in-house)** | **Relevant batches** | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Comparative bioavailability or biowaiver** | | **Stability** | | **Process validation** | | **Commercial (2.3.P.1)** | |
| **<Batch nos. and sizes>** | | **<Batch nos. and sizes>** | | **<Batch nos. and sizes>** | | **<Batch nos. and sizes>** | |
| **Theor.**  **quantity per batch** | **%** | **Theor.**  **quantity per batch** | **%** | **Theor.**  **quantity per batch** | **%** | **Theor.**  **quantity per batch** | **%** |
| <complete with appropriate titles e.g. Core tablet (Layer 1, Layer 2, etc. as applicable), Contents of capsule, Powder for injection> | | | | | | | | |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
| Subtotal 1 |  |  |  |  |  |  |  |  |
| <complete with appropriate title e.g. Film-coating > | | | | | | | | |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
| Subtotal 2 |  |  |  |  |  |  |  |  |
| Total |  |  |  |  |  |  |  |  |

#### 2.3.P.3 Manufacture

#### *2.3.P.3.1 Manufacturer(s)*

1. **Name, address and responsibility (e.g. fabrication, packaging, labelling, testing) of each manufacturer, including contractors and each proposed production site or facility involved in manufacturing and testing:**

|  |  |
| --- | --- |
| Name and address  (include block(s)/unit(s)) | Responsibility |
|  |  |
|  |  |
|  |  |
|  |  |

#### *2.3.P.3.2 Batch Formula*

Largest intended commercial batch size:

Other intended commercial batch sizes:

<information on all intended commercial batch sizes should be in the QIS>

(a) **List of all components of the FPP to be used in the manufacturing process and their amounts on a per batch basis (including components of mixtures prepared in-house (e.g. coatings) and overages, if any):**

|  |  |  |  |
| --- | --- | --- | --- |
| Strength (label claim) |  |  |  |
| **Master production document**  **reference number and/or version** |  |  |  |
| **Proposed commercial batch size(s) (e.g. number of dosage units)** |  |  |  |
| **Component and quality standard**  **(and grade, if applicable)** | **Quantity per unit dose (e.g. mg/batch)** | **Quantity per batch (e.g. kg/batch)** | **Quantity per batch (e.g. kg/batch)** |
| <complete with appropriate titles e.g. Core tablet (Layer 1, Layer 2, etc. as applicable), Contents of capsule, Powder for injection> | | | |
|  |  |  |  |
|  |  |  |  |
| Subtotal 1 |  |  |  |
| <complete with appropriate title e.g. Film-coating > | | | |
|  |  |  |  |
|  |  |  |  |
| Subtotal 2 |  |  |  |
| Total |  |  |  |

#### *2.3.P.3.3 Description of Manufacturing Process and Process Controls*

**(a) Flow diagram of the manufacturing process:**

**(b) Narrative description of the manufacturing process, including equipment type and working capacity, process parameters:**

#### *2.3.P.3.4 Controls of Critical Steps and Intermediates*

**(a) Summary of controls performed at the critical steps of the manufacturing process and on isolated intermediates:**

|  |  |
| --- | --- |
| Step  (e.g. granulation, compression, coating) | Controls (parameters/limits/frequency of testing) |
|  |  |
|  |  |
|  |  |
|  |  |

Proposed/validated holding periods for intermediates (including bulk product):

#### *2.3.P.3.5 Process Validation and/or Evaluation*

1. **Summary of the process validation and/or evaluation studies conducted and/or a summary of the proposed validation protocol for the critical steps or critical assays used in the manufacturing process (e.g. protocol number, parameters, results):**

**Document code(s) for the process validation protocol(s) and/or report(s) (including reference number/version/date):**

#### 2.3.P.5 Control of FPP

#### *2.3.P.5.1 Specification(s)*

**(a) Specification(s) for the FPP:**

|  |  |  |  |
| --- | --- | --- | --- |
| Standard (e.g. Ph.Int., BP, USP, in-house) | | |  |
| **Specification reference number and version** | | |  |
| **Has the specifications been signed, dated by the authorized personnel** | | |  |
| **Test** | **Acceptance criteria**  **(release)** | **Acceptance criteria**  **(shelf-life)** | **Analytical procedure**  **(type/source/version)** |
| Description |  |  |  |
| Identification |  |  |  |
| Impurities |  |  |  |
| Assay |  |  |  |
| etc. |  |  |  |
|  |  |  |  |
|  |  |  |  |

#### 

#### 2.3.P.7 Container Closure System

**(a) Description of the container closure systems, including unit count or fill size, container size or volume:**

|  |  |  |  |
| --- | --- | --- | --- |
| **Description**  **(including materials of construction)** | **Strength** | **Unit count or fill size**  **(e.g. 60s, 100s etc.)** | **Container size**  **(e.g. 5 ml, 100 ml etc.)** |
|  |  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |  |
|  |  |  |
|  |  |  |

#### 2.3.P.8 Stability

#### *2.3.P.8.1 Stability Summary and Conclusions*

**(c) Proposed storage statement and shelf-life (and in-use storage conditions and in-use period, if applicable):**

|  |  |  |
| --- | --- | --- |
| Container closure system | Storage statement | Shelf-life |
|  |  |  |
|  |  |  |

#### *2.3.P.8.2 Post-approval Stability Protocol and Stability Commitment*

**(a) Stability protocol for Primary stability batches (e.g. storage conditions (including tolerances), batch numbers and batch sizes, tests and acceptance criteria, testing frequency, container closure system(s)):**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| | **Parameter** | **Details** | | | --- | --- | --- | | **Storage condition(s) (◦C, % RH)** |  | | | **Batch number(s) / batch size(s)** | <*primary batches*> | | | **Tests and acceptance criteria** | Description |  | | Moisture |  | | Impurities |  | | Assay |  | | etc. |  | |  |  | | **Testing frequency** |  | | | **Container closure system(s)** |  | | |  |  | | |

**(b)** **Stability protocol for Commitment batches (e.g. storage conditions (including tolerances), batch numbers (if known) and batch sizes, tests and acceptance criteria, testing frequency, container closure system(s)):**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| | **Parameter** | **Details** | | | --- | --- | --- | | **Storage condition(s) (◦C, % RH)** |  | | | **Batch number(s) / batch size(s)** | *<not less than three production batches in each container closure system>* | | | **Tests and acceptance criteria** | Description |  | | Moisture |  | | Impurities |  | | Assay |  | | etc. |  | | **Testing frequency** |  | | | **Container closure system(s)** |  | | |  |  | | |

**(c)** **Stability protocol for Ongoing Batches (e.g. storage conditions (including tolerances), number of batches per strength and batch sizes, tests and acceptance criteria, testing frequency, container closure system(s)):**

| **Parameter** | **Details** | |
| --- | --- | --- |
| **Storage condition(s) (◦C, % RH)** |  | |
| **Batch size(s), annual allocation** | *<at least one production batch per year (unless none is produced that year)* *in each container closure system >* | |
| **Tests and acceptance criteria** | Description |  |
| Moisture |  |
| Impurities |  |
| Assay |  |
| etc. |  |
| **Testing frequency** |  | |
| **Container closure system(s)** |  | |
|  |  | |

#### *2.3.P.8.3 Stability Data*

**(c)** **Bracketing and matrixing design for commitment and/or continuing (i.e. ongoing) batches, if applicable:**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Storage conditions (°C, % RH)** | **Strength and batch number** | **Batch size** | **Container closure system** | **Completed (and proposed) test intervals** |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

# WRITTEN COMMITMENTS OF THE MANUFACTURER – for SAHPRA use

Important note: The product information is an essential part of the medicinal product. The PI and PIL published on the SAHPRA PI/PIL repository have been quality assured by SAHPRA experts and reflect the situation at the time of publication of the PI and PIL. These texts, i.e. the PI and the PIL are registered and should be adhered to. Generally, a deviation from the registered product information (especially as to contents) means the product can no longer be considered to be registered.

**API**

**If applicable (primary stability study commitment):**

The Applicant (or API manufacturer) provided a written commitment (dated day month year) to continue long-term testing of <INN of API> for a period of time sufficient to cover the whole provisional re-test period (period ending month/year) and to report any significant changes or out-of-specification results immediately to SAHPRA for the following batches :

<Batch numbers, manufacturing dates, batch size, primary packing materials>

**If applicable (commitment stability studies):**

Since stability data on three production scale batches were not provided with the application, the applicant provided a written commitment (dated day month year) that the remaining number of production scale batches will be put on long-term stability testing. Out-of-specification results, significant changes or significant atypical trends will be investigated. Any confirmed significant change or out-of-specification result will be reported immediately to SAHPRA. The approved stability protocol will be used for commitment batches.

**API option 2 – CEP**

The Applicant provided a written commitment (dated day month year) to inform SAHPRA in the event that the CEP is revised or withdrawn, and that revisions to the CEP will be handled as per variations classified in the EMA variations guideline. Note that revisions or withdrawal will require additional consideration of the API data requirements to support the dossier.

**API option 3 – full details in the application (ongoing stability study commitment)**

The Applicant provided a written commitment (dated day month year) regarding ongoing stability studies.  Unless otherwise justified, at least one batch per year of the product will be included in the stability programme (unless none is produced during that year).  The stability protocol will be that which was approved for primary batches. Out-of-specification results, significant changes or significant atypical trends will be investigated. Any confirmed significant change or out-of-specification result will be reported immediately to SAHPRA. The possible impact on batches on the market will be considered in consultation with SAHPRA

**FPP**

**If applicable (primary stability study commitment):**

The Applicant provided a written commitment (dated day month year) to continue long-term testing of < FPP application number, trade name (INN of API), strength, pharmaceutical form> for a period of time sufficient to cover the whole provisional shelf-life (period ending month/year) and to report any out-of-specification results or significant changes immediately to SAHPRA for the following batches :

<Batch numbers, manufacturing dates, batch size, primary packing materials >

**If applicable (commitment stability studies):**

Since stability data on three production scale batches was not provided with the application, the Applicant provided a written commitment (dated day month year) to put the remaining number <e.g. additional two> production scale batches of < FPP application number, trade name (INN of API), strength, pharmaceutical form, primary packing material> on long-term stability testing. Out-of-specification results, significant changes or significant atypical trends will be investigated. Any confirmed significant change or out-of-specification result will be reported immediately to SAHPRA. The approved stability protocol will be used for commitment batches.

**If applicable (when the proposed largest commercial batch size is 100 000 units (x units) or less and the biobatch is less than 100 000 units)**

The Applicant provided a written commitment (dated day month year) to place the first three batches of any production size larger than x units on stability. The stability protocol will be that which was approved for primary batches. Out-of-specification results, significant changes or significant atypical trends will be investigated. Any confirmed significant change or out-of-specification result will be reported immediately to SAHPRA.

**Ongoing stability study commitment**

The Applicant provided a written commitment (dated day month year) regarding ongoing stability studies.  Unless otherwise justified, at least one batch per year of the product manufactured in every primary packaging type will be included in the stability programme (unless none is produced during that year).  The stability protocol will be that which was approved for primary batches. Out-of-specification results, significant changes or significant atypical trends will be investigated. Any confirmed significant change or out-of-specification result will be reported immediately to SAHPRA. The possible impact on batches on the market will be considered in consultation with SAHPRA.

**If applicable (validation of production batches)**

Validation data on production scale batches of not less than three consecutive batches of <FPP application number, trade name (INN of API), strength, pharmaceutical form, primary packing material> was not provided with the application. Therefore, the Applicant provided a written commitment (dated day month year) that three consecutive production batches would be prospectively validated and a validation report —in accordance with the details of the validation protocol provided in the dossier— would bemade available as soon as possible for evaluation by SAHPRA.

1. *Please note that the contact listed in this form will be the primary contact for email and mail communication for this specific application.* [↑](#footnote-ref-1)